

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
PF-2899**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Hiroyuki YOKOYAMA

corresponding to Japanese application No. 2000-361859, filed  
28 November 2000,**entitled:** MODE LOCKING SEMICONDUCTOR LASER SYSTEM INCLUDING  
EXTERNAL CAVITY

## Enclosed are:

|                                     |                                                                                                                                                                                                                                                                                                                   |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | 33 pages of specification.                                                                                                                                                                                                                                                                                        |
| <input checked="" type="checkbox"/> | 12 sheets of formal drawings.                                                                                                                                                                                                                                                                                     |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.                                                                                                                                                                                                                                                                     |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application<br>Serial No. , filed .                                                                                                                                                                                                                  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is supplied as indicated in the<br>preceding box, is considered as being part of the disclosure of the accom-<br>panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment<br>cover sheet.                                                                                                                                                                                                                           |
| <input type="checkbox"/>            | Information Disclosure Statement with Form PTO-1449.                                                                                                                                                                                                                                                              |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.                                                                                                                                                                                                                                                         |
| <input type="checkbox"/>            | preliminary amendment.                                                                                                                                                                                                                                                                                            |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.                                                                                                                                                                                                                                                        |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.                                                                                                                                                                                                                                                           |
| <input type="checkbox"/>            | a certified copy of the Priority Document.                                                                                                                                                                                                                                                                        |
| <input checked="" type="checkbox"/> | other: Application Data Sheet, Abstract .                                                                                                                                                                                                                                                                         |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

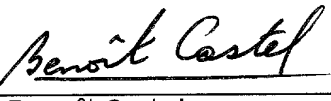
of prior application No. , filed .

|                                     |                                                                                                                 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | Customer No. 000466.                                                                                            |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street,<br>Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.                                           |

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(continued)Docket No.  
PF-2899**CLAIMS AS FILED**

|                                                                                                                     | NO. FILED | NO. EXTRA | RATE   | FEE                                 |
|---------------------------------------------------------------------------------------------------------------------|-----------|-----------|--------|-------------------------------------|
| BASIC FEE                                                                                                           |           |           | \$ 740 | \$ 740                              |
| TOTAL CLAIMS                                                                                                        | 10 - 20 = | 0         | X\$ 18 | 0                                   |
| INDEPENDENT CLAIMS                                                                                                  | 2 - 3 =   | 0         | X\$ 84 | 0                                   |
| MULTIPLE DEPENDENT CLAIM<br>PRESENT                                                                                 |           |           | \$ 280 |                                     |
| <b>TOTAL</b>                                                                                                        |           |           |        | \$740                               |
| If applicant claims small entity status under<br>37 CFR 1.27, then divide total fee by 2, and<br>enter amount here. |           |           |        | <b>SMALL ENTITY<br/>TOTAL</b><br>\$ |

|                                     |                                                                                                                                                                                                                                    |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | A check in the amount of \$780 to cover the filing fee is enclosed.                                                                                                                                                                |
| <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge indicated fees and credit any over-<br>payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as<br>described below. A duplicate copy of this sheet is enclosed. |
| <input type="checkbox"/>            | Charge the amount of \$ as filing fee.                                                                                                                                                                                             |
| <input checked="" type="checkbox"/> | Credit any overpayment.                                                                                                                                                                                                            |
| <input checked="" type="checkbox"/> | Charge any additional fee required under 37 CFR 1.16 and 1.17, during<br>the pendency of this application.                                                                                                                         |
| <input type="checkbox"/>            | Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of<br>Allowance.                                                                                                                                              |

  
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